

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Nathaniel E. DAVID

Serial Number: 10/591,934

Filing Date: September 6, 2006

Title: COMPOSITIONS AND METHODS FOR  
PREVENTING AND TREATING SKIN  
AND HAIR CONDITIONS

Group Art Unit: 1618

Examiner: Unassigned

**CONFIRMATION NO: 4597**

**FILED ELECTRONICALLY ON: April 23, 2007**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.97**

Sir:

Applicant hereby submits an Information Disclosure Statement along with attached form PTO/SB/08. A copy of each listed publication is submitted, if required, pursuant to 37 CFR §§1.97-1.98, as indicated below.

Applicant respectfully requests that the listed information be considered by the Examiner and be made of record in the above-identified application. Applicant further requests that the Examiner initial and return the attached form PTO/SB/08 in accordance with MPEP §609.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in §1.56.

- A. ☒ 37 CFR §1.97(b). This Information Disclosure Statement should be considered by the Office because:
- ☐ (1) It is being filed within 3 months of the filing date of a national application and is other than a continued prosecution application under §1.53(d);  
-- OR --
  - ☐ (2) It is being filed within 3 months of entry of the national stage as set forth in §1.491 in an international application;  
-- OR --
  - ☒ (3) It is being filed before the mailing of a first Office action on the merits;  
-- OR --
  - ☐ (4) It is being filed before the mailing of a first Office action after the filing of a request for continued examination under §1.114.
- B. ☐ 37 CFR §1.97(c). Although this Information Disclosure Statement is being filed after the period specified in 37 CFR §1.97(b), above, it is filed before the mailing date of the earlier of (1) a final office action under §1.113, (2) a notice of allowance under §1.311, or (3) an action that otherwise closes prosecution on the merits, this Information Disclosure Statement should be considered because it is accompanied by one of:
- ☐ a statement as specified in §1.97(e) provided concurrently herewith;  
-- OR --
  - ☐ a fee of \$180.00 as set forth in §1.17(p) authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- C. ☐ 37 CFR §1.97(d). Although this Information Disclosure Statement is being filed after the mailing date of the earlier of (1) a final office action under §1.113 or (2) a notice of allowance under §1.311, it is being filed before payment of the issue fee and should be considered because it is accompanied by:
- i. a statement as specified in §1.97(e);  
-- AND --
  - ii. a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this Statement.
- D. ☐ 37 CFR §1.97(e). Statement.
- ☐ A statement is provided herewith to satisfy the requirement under 37 CFR §§1.97(c);  
-- AND/OR --
  - ☐ A statement is provided herewith to satisfy the requirement under 37 CFR §§1.97(d);  
-- AND/OR --
  - ☐ A copy of a dated communication from a foreign patent office clearly showing that the information disclosure statement is being submitted within 3 months of the filing date on the communication is provided in lieu of a statement under 37 C.F.R. § 1.97(e)(1) as provided for under MPEP 609.04(b) V.
- E. ☐ Statement Under 37 C.F.R. §1.704(d). Each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart application that was received by an individual designated in § 1.56(c) not more than thirty (30) days prior to the filing of this information disclosure statement. This statement is made pursuant to the

requirements of 37 C.F.R. §1.704(d) to avoid reduction of the period of adjustment of the patent term for Applicant(s) delay.

F. ☒ 37 CFR §1.98(a)(2). The content of the Information Disclosure Statement is as follows:

☐ Copies of each of the references listed on the attached Form PTO/SB/08 are enclosed herewith.

-- OR --

☒ Copies of U.S. Patent Documents (issued patents and patent publications) listed on the attached Form PTO/SB/08 are NOT enclosed.

-- AND/OR --

☒ Copies of Foreign Patent Documents and/or Non Patent Literature Documents listed on the attached Form PTO/SB/08 are enclosed in accordance with 37 CFR §1.98 (a)(2).

-- AND/OR --

☐ Copies of pending unpublished U.S. patent applications are enclosed in accordance with 37 CFR §1.98(a)(2)(iii).

G. ☐ 37 CFR §1.98(a)(3). The Information Disclosure Statement includes non-English patents and/or references.

☐ Pursuant to 37 CFR §1.98(a)(3)(i), a concise explanation of the relevance of each patent, publication or other information provided that is not in English is provided herewith.

☐ Pursuant to MPEP 609(B), an English language copy of a foreign search report is submitted herewith to satisfy the requirement for a concise explanation where non-English language information is cited in the search report.

-- OR --

☐ A concise explanation of the relevance of each patent, publication or other information provided that is not in English is as follows: \_\_\_\_\_

☐ Pursuant to 37 CFR §1.98(a)(3)(ii), a copy of a translation, or a portion thereof, of the non-English language reference(s) is provided herewith.

H. ☐ 37 CFR §1.98(d). Copies of patents, publications and pending U.S. patent applications, or other information specified in 37 C.F.R. § 1.98(a) are not provided herewith because:

☐ Pursuant to 37 CFR §1.98(d)(1) the information was previously submitted in an Information Disclosure Statement for another application under which this application claims priority for an earlier effective filing date under 35 U.S.C. 120.

Application in which the information was submitted: \_\_\_\_\_

Information Disclosure Statement(s) filed on: \_\_\_\_\_

AND

☐ The information disclosure statement submitted in the earlier application complied with paragraphs (a) through (c) of 37 CFR §1.98.

- I. ☒ Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 23-2415 (Docket No. 30864-701.831).

Respectfully submitted,

WILSON SONSINI GOODRICH & ROSATI

Dated: April 13, 2007

By: Peggy Radel  
Peggy Radel, Reg. No. 57,258

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/591,934
				Filing Date	September 6, 2006
				First Named Inventor	Nathaniel E. David
				Art Unit	1618
				Examiner Name	Unassigned
Sheet	1	Of	5	Attorney Docket Number	30864-701.831

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US 2003/0139462	06/24/2003	Cheng et al.	
	2.	US 2005/0221402	10/06/2005	Barske et al.	
	3.	US 5,990,116	11/23/1999	Nussbaumer et al.	
	4.	US 6,335,336	01/01/2002	Anantanarayan et al.	
	5.	US 6,509,361	01/21/2003	Weier et al.	
	6.	US 6,565,904	05/20/2003	Roth	
	7.	US 6,610,688	08/26/2003	Liang et al.	

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NON PATENT LITERATURE DOCUMENTS			
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	8.	"Botox – Frequently Asked Questions." Available at <a href="http://www.botox.com/site/professionals">www.botox.com/site/professionals</a> . Accessed January 15, 2004. (pages 1-5)	
	9.	ADAMS, et al. U0126: An Effective Inhibitor of MAPK Activation in Neuronal Tissue. Neural Notes. 1999; Vol. V, Issue 1, pages 14-16.	
	10.	ALETSEE, et al. Ras/MEK But Not p38 Signaling Mediates NT-3-Induced Neurite Extension From Spiral Ganglion Neurons. Journal of the Association for Research In Otolaryngology. 2001; pages 377-387.	
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	16.	GIBNEY, et al. Cytoskeletal dynamics underlying collateral membrane protrusions induced by neurotrophins in cultured Xenopus embryonic neurons. J Neurobiol. 2003 Feb 5;54(2):393-405.	
	17.	GRANDPRE, et al. Identification of the Nogo inhibitor of axon regeneration as a Reticulon protein. Nature. 2000 Jan 27;403(6768):439-44.	

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	18.	GUPTA, et al. Dietary antioxidant curcumin inhibits microtubule assembly through tubulin binding. FEBS J. 2006; 273(23):5320-32.	
	19.	GUPTA, et al. Oral Cyclosporine For The Treatment of Alopecia Areata. American Academy of Dermatology. 1990; Vol. 22, No. 2, Part 1, pages 242-250.	
	20.	HAMEL, et al. Synergistic effects of peloruside A and laulimalide with taxoid site drugs, but not with each other, on tubulin assembly. Mol Pharmacol. 2006; 70(5):1555-64.	
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	27.	MEDINA, et al. LAV694, a new antiproliferative agent showing improved skin tolerability vs. clinical standards for the treatment of actinic keratosis. Biochem Pharmacol. 2003 Nov 15;66(10):1885-95.	

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Sheet	4	Of	5	Attorney Docket Number	30864-701.831

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	28.	MIURA, et al. Partial Functional Recovery of Paraplegic Rat by Adenovirus-Mediated Gene Delivery of Constitutively Active MEK1. Experimental Neurology. 2000; Vol. 166, pages 115-126.	
	29.	NICHOLSON, et al. NPI-2358 is a tubulin-depolymerizing agent: in-vitro evidence for activity as a tumor vascular-disrupting agent. Anticancer Drugs. 2006 Jan;17(1):25-31.	
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	31.	REATA. RTA 301: Peloruside-a Novel Microtubule Stabilizing Agent. Available at <a href="http://www.reatapharma.com/rta301.asp">http://www.reatapharma.com/rta301.asp</a> . Accessed March 19, 2007.	
	32.	ROSE, et al. Preclinical oral antitumor activity of BMS-185660, a paclitaxel derivative. Cancer Chemother Pharmacol. 2000;46(3):246-50.	
	33.	SJÖGREEN, et al. Mitogen Activated Protein Kinase Inhibition by PD98059 Blocks Nerve Growth Factor Stimulated Axonal Outgrowth From Adult Mouse Dorsal Root Ganglia In Vitro. Neuroscience. 2000; Vol. 100, No. 2, pages 407-416.	
	34.	SYNTA. STA-9584. (VASCULAR DISRUPTING AGENT). Available at <a href="http://www.syntapharma.com/PrdDiscoveryVTA.aspx">http://www.syntapharma.com/PrdDiscoveryVTA.aspx</a> . Accessed March 19, 2007.	
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	36.	VAUDRY, et al. Signaling Pathways For PC12 Cell Differentiation: Making The Right Connection. Science. 2002; Vol. 296, pages 1648-1649.	
	37.	WILLIAMS, et al. Lead Enhances NGF-Induced Neurite Outgrowth in PC12 Cells by Potentiating ERK/MAPK Activation. NeuroToxicology. 2000; Vol. 21, No. 6, pages 1081-1090.	

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